

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>217550US0PCT</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/031371</div>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06545</b>		INTERNATIONAL FILING DATE <b>10 JULY 2000</b>		PRIORITY DATE CLAIMED <b>19 JULY 1999</b>	
TITLE OF INVENTION <b>SYNERGISTIC COMPOSITION COMPRISING DAUNORUBICIN DERIVATIVES AND ANTIMETABOLITE COMPOUNDS</b>					
APPLICANT(S) FOR DO/EO/US <b>Maria C. GERONI, et al.</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>					
<b>Items 13 to 20 below concern document(s) or information included:</b>					
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>23. <input checked="" type="checkbox"/> Other items or information:</li> </ol>					
Notice of Priority / PCT/IB/304 / PCT/IB/308 PTO-1449					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>10/031371</b> )		INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06545</b>		ATTORNEY'S DOCKET NUMBER <b>217550PCT</b>	
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24. The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b> <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>				<b>CALCULATIONS PTO USE ONLY</b>	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$890.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30				<b>\$130.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	x \$18.00	\$0.00	
Independent claims	1 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,020.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>				<b>\$1,020.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 +				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,020.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,020.00</b>	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of **\$1,020.00** to cover the above fees is enclosed.


b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.


**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:



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 REGISTRATION NUMBER  
**Jan 18 2002**  
 DATE

217550US-0 PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :  
MARIA C. GERONI ET AL : ATTN: APPLICATION DIVISION  
SERIAL NO: NEW U.S. PCT APPLN :  
(BASED ON PCT/EP00/06545)  
FILED: HEREWITH :  
FOR: SYNERGISTIC COMPOSITION  
COMPRISING DAUNORUBICIN  
DERIVATIVES AND  
ANTIMETABOLITE COMPOUNDS

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS

Please cancel Claims 9-11.

Please amend the claims as shown on the marked-up copy following this amendment to read as follows.

3. (Amended) A product according to claim 1 wherein the antimetabolite compound is a cytidine analog.

4. (Amended) A product according to claim 1 wherein the antimetabolite compound is a 5-fluoropyrimidine.

Please add the following new claims.

12. (New) A method for treating tumors in a mammal, including a human, in need thereof, comprising administering the alkylating anthracycline of formula Ia or Ib as claimed in claim 1 and an antimetabolite compound to said mammal, including a human, in a synergistic antineoplastic effective amount.

13. (New) The method as claimed in claim 12 wherein the antimetabolite compound is 5-fluorouracil or gemcitabine.

14. (New) A method for the treatment of metastasis in a mammal, including a human, in need thereof, comprising administering the alkylating anthracycline of formula Ia or Ib as claimed in claim 1 and an antimetabolite compound to said mammal, including a human, in a synergistic antineoplastic effective amount.

15. (New) A method for the prevention of metastasis in a mammal, including a human, in need thereof, comprising administering the alkylating anthracycline of formula Ia or Ib as claimed in claim 1 and an antimetabolite compound to said mammal, including a human, in a synergistic antineoplastic effective amount.

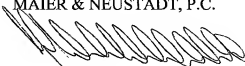
16. (New) A method for treating a tumor by the inhibition of angiogenesis in a mammal, including a human, in need thereof, comprising administering the alkylating anthracycline of formula Ia or Ib as claimed in claim 1 and an antimetabolite compound to said mammal, including a human, in a synergistic antineoplastic effective amount.

REMARKS

Claims 1-9 and 12-16 are active in the present application. Claims 9-11 have been canceled. Claims 3 and 4 have been amended to remove multiple dependencies. Claims 12-16 are new claims. Support for the new claims is found in the original claims and in the specification on page 2, line 19 through page 3, line 26. No new matter is added. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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**Marked-Up Copy**

Serial No: \_\_\_\_\_

Amendment Filed on: \_\_\_\_\_

*1-18-2002*

IN THE CLAIMS

--3. (Amended) A product according to claim 1 [or 2] wherein the antimetabolite compound is a cytidine analog.

4. (Amended) A product according to claim 1 [or 2] wherein the antimetabolite compound is a 5-fluoropyrimidine.

Claims 9-11 (Canceled).

Claims 12-16 (New).

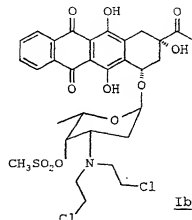
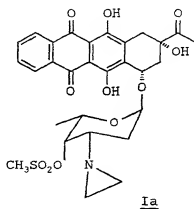
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SYNERGISTIC COMPOSITION COMPRISING DAUNORUBICIN DERIVATIVES AND ANTIMETABOLITE COMPOUNDS

The present invention relates in general to the field of cancer treatment and, more particularly, provides an antitumor composition comprising an alkylating anthracycline and an antimetabolite compound, having a synergistic or additive antineoplastic effect.

The present invention provides, in a first aspect, a pharmaceutical composition for use in antineoplastic therapy in mammals, including humans, comprising

- an alkylating anthracycline of formula Ia or Ib :



- an antimetabolite compound, and a pharmaceutically acceptable carrier or excipient.

The chemical names of the alkylating anthracyclines of formula Ia and Ib are 4-demethoxy-3'-deamino-3'-aziridinyl-4'-methansulfonyl daunorubicin (Ia) and 4-demethoxy-N-bis(2-chloroethyl)-4'-methansulfonyl daunorubicin (Ib). These alkylating anthracyclines were described in Anticancer Drug Design (1995), vol. 10, 641-653, and claimed respectively in US-A-5,532,218 and US-A-5,496,800. Both compounds intercalate into DNA via the chromophore and alkylate guanine at N<sup>7</sup> position in DNA major groove via their reactive moiety on position 3' of the amino sugar. Compounds Ia and Ib are able to circumvent the resistance to all major classes of

cytotoxics, indicating that the compounds represent a new class of cytotoxic antitumor drugs.

Antimetabolites are described in various scientific publications. The main representatives of this wide class of drugs are: the antifolates such as methotrexate, raltitrexed and trimetrexate; the 5-fluoropyrimidine compounds such as 5-fluorouracil, floxuridine and capecitabine; the cytidine analogs like cytarabine, azacitidine and gemcitabine. See for example the review: Cancer, Principles and Practice of Oncology, Lippincott-Raven Ed. (1997), 432-452. The 5-fluoropyrimidine compounds and the cytidine analogs are the preferred antimetabolite compounds to be used in the present invention, more preferably 5-fluorouracil or gemcitabine. The present invention also provides a product comprising an alkylating anthracycline of formula Ia or Ib as defined above and an antimetabolite compound, as combined preparation for simultaneous, separate or sequential use in antitumor therapy.

A further aspect of the present invention is to provide a method of treating a mammal including humans, suffering from a neoplastic disease state comprising administering to said mammal an alkylating anthracycline of formula Ia or Ib as defined above and an antimetabolite compound, in amounts effective to produce a synergistic antineoplastic effect.

The present invention also provides a method for lowering the side effects caused by antineoplastic therapy with an antineoplastic agent in mammals, including humans, in need thereof, the method comprising administering to said mammal a combination preparation comprising an antimetabolite compound as defined above and an alkylating anthracycline of formula Ia or Ib, as defined above, in amounts effective to produce a synergistic antineoplastic effect.

By the term "a synergistic antineoplastic effect" as used herein is meant the inhibition of the growth tumor,

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preferably the complete regression of the tumor, administering an effective amount of the combination of an alkylating anthracycline of formula Ia or Ib as defined above and a antimetabolite compound to mammals, including human.

- 5 By the term "administered" or "administering" as used herein is meant parenteral and /or oral administration. By "parenteral" is meant intravenous, subcutaneous and intramuscular administration. In the method of the subject invention, the alkylating anthracycline may be administered
- 10 simultaneously with the compound with the antimetabolite compound activity, for example of the 5-fluoropyrimidine or cytidine class, or the compounds may be administered sequentially, in either order. It will be appreciated that the actual preferred method and order of administration will
- 15 vary according to, inter alia, the particular formulation of the alkylating anthracycline of formula Ia or Ib being utilized, the particular formulation of the antimetabolite compound, such as one of the 5-fluoropyrimidine or cytidine class, being utilized, the particular tumor model being
- 20 treated, and the particular host being treated. In the method of the subject invention, for the administration of the alkylating anthracycline of formula Ia or Ib, the course of therapy generally employed is from about 0.1 to about 200 mg/m<sup>2</sup> of body surface area. More preferably,
- 25 the course therapy employed is from about 1 to about 50 mg/m<sup>2</sup> of body surface area. In the method of the subject invention, for the administration of the antimetabolite compound the course of therapy generally employed is from about 0.1 to about 10 g/m<sup>2</sup>
- 30 of body surface area. More preferably, the course therapy employed is from about 1 mg/m<sup>2</sup> to about 5 g/m<sup>2</sup> of body surface area. The antineoplastic therapy of the present invention is in particular suitable for treating breast, ovary lung,

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colon, kidney, stomach, pancreas, liver, melanoma, leukemia and brain tumors in mammals, including humans.

In a further aspect, the present invention is directed to the preparation of a pharmaceutical composition containing an effective amount of an alkylating anthracycline of formula Ia or Ib as defined above and an antimetabolite compound in the prevention or treatment of metastasis or for the treatment of tumors by angiogenesis inhibition, as well as to the use of an alkylating anthracycline of formula Ia or Ib as defined above and an antimetabolite compound for the treatment of tumors by angiogenesis inhibition or for the treatment or prevention of metastasis.

As stated above, the effect of an alkylating anthracycline of formula Ia or Ib and an antimetabolite compound, such as a 5-fluoropyrimidine or cytidine derivative, is significantly increased without a parallel increased toxicity. In other words, the combined therapy of the present invention enhances the antitumoral effects of the alkylating anthracycline and of the antimetabolites and thus yields the most effective and least toxic treatment for tumors.

The superadditive actions of the combination preparation of the present invention may be shown for instance by in vivo tests for the antileukemic activity on disseminated L1210 murine leukemia. The combination of Ia with gemcitabine (Table 1) or 5-Fluorouracil tested at the different doses and schedules, produces favorable ILS% values (Increase in life span: [(median survival time of treated mice/median survival time of controls) $\times$  100]-100), indicating a synergistic effect.

Table 1 shows the antileukemic activity on disseminated L1210 murine leukemia obtained by combining the above PNU 159548 derivative with gemcitabine.

At the dose of 15 and 60 mg/kg of gemcitabine alone (ip day 1 after tumor injection) and at the dose of 1 and 1.5 mg/kg of PNU 159548 alone (iv day 1 after tumor injection, administered 2h after gemcitabine) were associated, without toxicity, with ILS% values of 50 and 83 and 33 and 67, respectively. By combining gemcitabine and PNU 159548 at the same doses and with the same schedule, an increase of activity with ILS% values of 117 and 204 were observed, indicating a synergistic effect as shown by the combination index (CI) of 1.4 and 1.3, respectively.

**Table 1:** Antileukemic activity against disseminated L1210<sup>1</sup> murine leukemia of PNU-159548 (I) in combination with gemcitabine

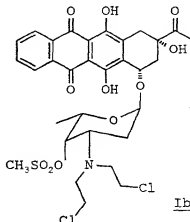
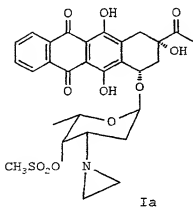
Compound	Treatment schedule	Dose (mg/kg/d ay)	ILS% <sup>2</sup>	LTS <sup>3</sup>	TOX <sup>4</sup>	CI <sup>5</sup>
PNU 159548	iv +1(*)	1	33	0/10	0/10	NA
		1.5	67	0/20	0/20	NA
Gemcitabine	ip +1	15	50	0/10	0/10	NA
		60	83	0/20	0/20	NA
PNU 159548 + gemcitabine	iv +1(*) ip +1	1 + 15	117	0/10	0/10	1.4
		1.5 + 60	204	4/20	2/20	1.3

1. L1210 leukemia cells ( $10^5$ /mouse CD2F1) are injected IV on Day 0.
2. Increase in life span: [(median survival time of treated mice/median survival time of controls) x 100] -100.
3. LTS: long-term survivors (>60 days) at the end of the experiments
4. Number of toxic deaths/number of mice.
5. C.I. = combination Index : <1 antagonistic; 1 additive; >1 synergistic  
(\*)administered 2h after gemcitabine  
NA: not applicable

For these experiments Ia was solubilized in [Cremophor® /EtOH = 6.5:3.5]/[normal saline]=20/80 v/v, while standard pharmaceutical preparation were used for the antimetabolite compounds.

Claims

1. A product containing an alkylating anthracycline of  
5 formula Ia or Ib:



and an antimetabolite compound as a combined preparation for  
simultaneous, separate or sequential use in the treatment of  
tumors.

2. A product according to claim 1 wherein the alkylating  
anthracycline is 4-demethoxy-3'-deamino-3'-aziridinyl-4'-  
methanesulfonyl daunorubicin.
3. A product according to claim 1 or 2 wherein the  
antimetabolite compound is a cytidine analog.
4. A product according to claim 1 or 2 wherein the  
antimetabolite compound is a 5-fluoropyrimidine.
5. A product according to claim 3 wherein the cytidine  
analog is gemcitabine.
6. A product according to claim 4 wherein the 5-  
fluoropyrimidine is 5-fluorouracil.
7. A pharmaceutical composition comprising a  
pharmaceutically acceptable carrier or excipient and, as  
active ingredient, an alkylating anthracycline of formula Ia  
or Ib as defined in claim 1 and an antimetabolite compound.

8. A composition according to claim 7 wherein the antimetabolite compound is 5-fluorouracil or gemcitabine.

- 5 9. Use of an alkylating anthracycline of formula Ia or Ib as defined in claim 1 and an antimetabolite compound in the preparation of a medicament for use in the treatment of tumors.

- 10 10. Use according to claim 8 wherein the antimetabolite compound is 5-fluorouracil or gemcitabine.

11. Use of an alkylating anthracycline of formula Ia or Ib as defined in claim 1 and an antimetabolite compound in the preparation of a medicament for use in the prevention or treatment of metastasis or in the treatment of tumors by

- 15 inhibition of angiogenesis.

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International Bureau

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- (30) Priority Data:  
**9916882.5** **19 July 1999 (19.07.1999)** **GB**
- (71) Applicant (for all designated States except US): **PHARMACIA & UPJOHN SPA [IT/IT];** Via Robert Koch, 1.2, I-20152 Milan (IT).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **GERONI, Maria, Cristina [IT/IT];** Via Coreggio, 48, I-20149 Milan (IT). **RIPAMONTI, Marina [IT/IT];** V.le Fulvio Testi, 91, I-20162 Milan (IT). **CARUSO, Michele [IT/IT];** Via Desiderio, 3, I-20131 Milan (IT). **SUARATO, Antonino [IT/IT];** Via Degli Imbriani, 39, I-20158 Milan (IT).
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- (84) Designated States (regional): **ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).**

**Published:**

- *With international search report.*
- *Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **SYNERGISTIC COMPOSITION COMPRISING DAUNORUBICIN DERIVATIVES AND ANTIMETABOLITE COMPOUNDS**

(57) Abstract: The combined use of 4-demethoxy-3'-deamino-3'-aziridinyl-4'-methansulfonyl daunorubicin or 4-demethoxy-N,N-bis(2-chloroethyl)-4'-methansulfonyl daunorubicin and an antimetabolite compound in the treatment of tumors, especially in the treatment or prevention of metastasis or in the treatment of tumors by the inhibition of angiogenesis.

**WO 01/05382 A1**

1001371-004000



# Declaration and Power of Attorney for Patent Application

## Dichiarazione e procura ai fini della domanda di brevetto

### Italian Language Declaration

Il sottoscritto inventore dichiara che:

As a below named inventor, I hereby declare that:

La propria residenza, recapito postale e cittadinanza corrispondono a quanto indicato in calce, sotto la propria firma.

My residence, post office address and citizenship are as stated next to my name.

Ritiene di essere il primo ed unico inventore originale (se viene elencato in calce un solo nominativo) o il coinventore primo ed originale (se è elencato più di un nominativo) dell'oggetto rivendicato e per il quale il sottoscritto presenta domanda di brevetto. La invenzione in questione è chiamata.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYNERGISTIC COMPOSITION COMPRISING

DAUNORUBICIN DERIVATIVES AND ANTIMETA-

BOLITE COMPOUNDS

e la sua descrizione è allegata alla presente Dichiarazione a meno:

the specification of which:

☐ è qui allegato

☐ is attached hereto.

☐ il \_\_\_\_\_

☒ was filed on January 18, 2002 ✓

è stata depositata una domanda di brevetto statunitense numero o una domanda di brevetto internazionale PCT numero

as United States Application Number or PCT International Application Number

\_\_\_\_\_ che è stata modificata il

10/031,371 ✓ and was amended on

\_\_\_\_\_ (se applicabile).

\_\_\_\_\_ (if applicable).

Il sottoscritto dichiara in oltre di aver letto e compreso il contenuto della descrizione identificata in precedenza, rivendicazioni comprese, come modificati dall'eventuale modifica summenzionata.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

Il sottoscritto riconosce l'obbligo di rivelare informazioni essenziali ai fini della determinazione della brevettabilità ai sensi del Titolo 37, Codice dei Regolamenti Federali, § 1.56.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

## Italian Language Declaration

Il sottoscritto rivendica con la presente la priorità prevista dal Titolo 35, Codice degli Stati Uniti, § 119(e)-(d) o § 365(b) in relazione a qualsiasi domanda o domanda estere di brevetto o certificato di inventore, o dal Titolo 35, § 365(a) degli stessi Codice in relazione a qualsiasi domanda internazionale PCT nella quale è designato almeno un paese diverso dagli Stati Uniti, i suddetti domande e certificati essendo elencati sotto, e, spuntando le seguenti caselle, ha anche identificato sotto qualsiasi domanda estera di brevetto o certificato di inventore, o domanda internazionale PCT, la cui data di deposito preceda quella dalla domanda per la quale è rivendicata la priorità.

Prior Foreign Application(s)  
(Domande Estere Anteriori)

9916882.5 ✓ Great Britain ✓  
(Number) (Country)  
(Numero) (Nazione)

(Number) (Country)  
(Numero) (Nazione)

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority claimed  
Diritto di priorità  
rivendicato

19 July 1999 ✓  
(Day/Month/Year Filed)  
(Giorno/Mese/Anno di deposito)

☒ Yes ☐ No  
Sì No

(Day/Month/Year Filed)  
(Giorno/Mese/Anno di deposito)

☐ Yes ☐ No  
Sì No

Il sottoscritto rivendica con la presente i benefici previsti dal Titolo 35, Codici degli Stati Uniti, § 119(e), in relazione a qualsiasi domanda o domande provvisorie degli Stati Uniti elencate sotto.

(Application No.)  
(N° della domanda)

(Filing Date)  
(Data di deposito)

(Application No.)  
(N° della domanda)

(Filing Date)  
(Data di deposito)

Il sottoscritto rivendica con la presente i benefici previsti dal Titolo 35, Codice degli Stati Uniti, § 120, in relazione a qualsiasi domanda o domande statunitensi, o dal Titolo 35, § 365(c) degli stessi Codice in relazione a qualsiasi domanda internazionale PCT nella quale sono designati gli Stati Uniti, i suddette domande essendo elencate sotto e, nella misura in cui l'oggetto di ciascuna rivendicazione di questa domanda non sia stato esposto nella domanda statunitense o internazionale PCT anteriore nel modo previsto dal primo paragrafo del Titolo 35, Codice degli Stati Uniti, § 112, riconosco l'obbligo di rivelare informazioni essenziali ai fini della determinazione della brevettabilità ai sensi del Titolo 37, Codici dei Regolamenti Federali, § 1.56, le quali diventino disponibili durante il periodo compreso tra la data di deposito della domanda anteriore e la data di deposito nazionale o internazionale PCT della presente domanda.

(Application No.)  
(N° della domanda)

(Filing Date)  
(Data di deposito)

(Status) (patented, pending, abandoned)  
(Stato) (concessione di brevetto, in corso di esame, abbandono)

(Application No.)  
(N° della domanda)

(Filing Date)  
(Data di deposito)

(Status) (patented, pending, abandoned)  
(Stato) (concessione di brevetto, in corso di esame, abbandono)

Con la presente, il sottoscritto dichiara veritiera tutte le affermazioni contenute in questa domanda in relazione alle proprie conoscenze e di ritenere vere tutte le affermazioni o informazioni presentate. Dichiara inoltre che tali asserzioni sono state espresse nella piena consapevolezza che le dichiarazioni intenzionalmente false sono punibili con una multa, l'incarcerazione o entrambe, ai sensi della Sezione 1001 del Titolo 18 del Codice degli Stati Uniti e che tali dichiarazioni intenzionalmente false possono mettere a repentaglio la validità della domanda o di qualsiasi brevetto rilasciato in merito.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



## Italian Language Declaration

PROCURA: Il sottoscritto inventore nomina con la presente il seguente avvocato o avvocati e/o agente o agenti al fine di istruire questa pratica e di condurre tutte le operazioni ad essa pertinenti presso l'Ufficio dei Brevetti e Marchi di Fabbrica; (Elencare il nome ed il numero di matricola).

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)



**022850**

Inviare le corrispondenza a:

Send Correspondence to:



**022850**

Telefonare a:  
(Nome e numero telefonico)

Direct Telephone calls to: (name and telephone number)

(703) 413-3000

Nome e cognome dell'unico o del primo inventore	1-00	Full name of sole or first inventor	
Firma dell'inventore	Data	Inventor's signature	Date
Residenza		Milano, Italy	March 4, 2002
Cittadinanza		Italian	
Recapito postale		Via Correggio 48, 20149 Milano, Italy	
Nome e cognome dell'eventuale secondo coinventore		Full name of second joint inventor, if any	
Firma del secondo coinventore	Data	Second inventor's signature	Date
Residenza		Milano, Italy	March 4, 2002
Cittadinanza		Italian	
Recapito postale		Viale Fulvio Testi 91, 20162 Milano,	
		Italy	

(Fornire le stesse informazioni e le firme del terzo e degli ulteriori coinventori.)

(Supply similar information and signature for third and subsequent joint inventors)

## Italian Language Declaration

Nome per intero di un eventuale terzo co-inventore		Full name of third joint inventor, if any	
3-00		Michele Caruso	
Firma del Terzo Inventore	Data	Third inventor's signature	Date
		Michele Caruso	March 4, 2002
Residenza		Residence	
		Milano, Italy	ITX
Cittadinanza		Citizenship	
		Italian	
Recapito postale		Post Office Address	
		Via Desiderio 3, 20131 Milano, Italy	
Nome per intero di eventuale quarto co-inventore		Full name of fourth joint inventor, if any	
4-00		Antonino Suarato	
Firma Quarto Inventore	Data	Fourth inventor's signature	Date
		Antonino Suarato	March 4, 2002
Residenza		Residence	
		Milano, Italy	ITX
Cittadinanza		Citizenship	
		Italian	
Recapito postale		Post Office Address	
		Via Degli Imbriani 39, 20158 Milano,	
		Italy	
Nome per intero di un eventuale quinto co-inventore		Full name of fifth joint inventor, if any	
Firma Quinto Inventore	Data	Fifth inventor's signature	Date
Residenza		Residence	
Cittadinanza		Citizenship	
Recapito postale		Post Office Address	
Nome per intero di un eventuale sesto co-inventore		Full name of sixth joint inventor, if any	
Firma del Sesto Inventore	Data	Sixth inventor's signature	Date
Residenza		Residence	
Cittadinanza		Citizenship	
Recapito postale		Post Office Address	

(Si prega di fornire simili informazioni e firme per il terzo e gli eventuali ulteriori co-inventori.)

(Supply similar information and signature for third and subsequent joint inventors.)